Electronic Acknowledgement Receipt				
EFS ID:	4920347			
Application Number:	09988780			
International Application Number:				
Confirmation Number:	9116			
Title of Invention:	Method, system, and computer program product for risk assessment and risk management			
First Named Inventor/Applicant Name:	Marc Bladen			
Customer Number:	26111			
Filer:	Theodore A. Wood/Delain Crooks			
Filer Authorized By:	Theodore A. Wood			
Attorney Docket Number:	1984.0010000			
Receipt Date:	06-MAR-2009			
Filing Date:	20-NOV-2001			
Time Stamp:	16:12:11			
Application Type:	Utility under 35 USC 111(a)			
Payment information:				

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$3160
RAM confirmation Number	1664
Deposit Account	
Authorized User	

## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
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1	1	19840010000EOTRCE.pdf	171995	yes	3
	19040010000E0111CE.pd1	08ba46e808131a9fb910ef36e7943b6a26f8 49e4	yes	,	
	Multip	art Description/PDF files in	zip description	'	
	Document Description		Start	End	
	Miscellaneous Incoming Letter		1	1	
	Extension of Time		2	2	
	Request for Continued Examination (RCE)		3	3	
Warnings:			-		
Information:					
2		19840010000AMENDMENT.pdf	535311	yes	18
			080001b2a67b763ea2ea1c4247d65091e6c 2e37a		
	Multip	part Description/PDF files in	zip description	I	
	Document Description		Start	End	
	Amendment Submitted/Entered with Filing of CPA/RCE		1	1	
	Claims		2	14	
	Applicant Arguments/Remarks Made in an Amendment		15	18	
Warnings:			<u>'</u>		
Information:					
3 Fee Worksheet (PTO-06)	fee-info.pdf	31860	no	2	
			be4433314f8560bb1a3191ab1db91e6a004 29b59		
Warnings:					
Information:					
		Total Files Size (in bytes)	73	9166	

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## New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

## National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

## New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.